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COURSE INFORMATION

Course Title: ***What Advisors Should Know About ETFs*** *#298516*

Recommended CPE credit hours for this course:

CFP®: **1** (All states)
CFP Board Course ID# 222102
CFP Board sponsor number: 1008.

CPA: **2** (All states)
In accordance with the standards of the National Registry of CPE Sponsors, CPE credits have been granted based on a 50-minute hour.
National Registry of CPE Sponsors ID Number: 107615.
Sponsor numbers for states requiring sponsor registration:
Florida Division of Certified Public Accountancy: 4761 (Ethics #11467)
Hawaii Board of Public Accountancy: 14003
New York State Board of Accountancy: 002146
Ohio State Board of Accountancy: M0021
Texas State Board of Accountancy: 009349

Course Description:
This course covers exchange-traded funds - how they are created, their key features, and how they compare to other investment products such as index mutual funds, regular mutual funds, and individual securities. Selected ETF offerings are reviewed and analyzed, as well as the companies that offer them. Tools and techniques for analyzing ETFs are also covered.

Program Delivery Method: Self-Study (NASBA QAS Self-Study/interactive)

Subject Codes/Field of Study:
CFP Board of Standards, Inc.: Investment Theory, Strategies, Vehicles.
NAPFA: Investments
NASBA (CPA): Administrative Practice.

Course Materials:
Publication/revision date: 7/26/2016
Course book: *Exchange-Traded Funds* ©2016 by Colleen Neuharth McClain, CPA
Final exam (online): Twenty questions (multiple-choice).

Course Level, Prerequisites, and Advance Preparation Requirements

Program Level: CFP Board, NASBA/CPA: Overview.

This program is appropriate for professionals at all organizational levels.

Prerequisites: None

Advance Preparation: None

Instructions for taking this course

You must complete this course within one year of the date of purchase (if you do not complete the course within one year, contact us to determine whether an updated edition of the course is available, in which case we will provide you with a PDF of the updated course and the online exam at no charge).

A passing grade of at least 70% is required on the final exam for this course. You may retake the exam if you do not pass it on the first attempt (no charge).

Complete the course by following the learning objectives listed below, studying the text, and studying the review questions at the end of each major section (or at the end of the course). Once you have completed studying the course and you are confident that the learning objectives have been met, answer the final exam questions (online).

Instructions for Taking the Final Exam Online

- Login to your account online at www.bhfe.com.
- Go to "*My Account*" and view your course.
- Select "*Take Exam*" for this course and follow instructions.

Additional Information

- The exam may be started, stopped, then resumed at a later date.
- The exam is "open book," it is not timed, and it may be retaken if not passed on the first attempt (no charge).
- Results (correct, incorrect answers) and certificate appear immediately upon passing the exam.

Have a question? Call us at 800-588-7039 or email us at contact@bhfe.com.

Learning Objectives

As a result of studying the text, you should be able to meet the objectives listed below.

- Recognize the evolution of ETFs.
- Identify the key advantages and drawbacks to investors of ETFs.
- Recognize the most notable ETFs on the market.
- Differentiate between the various ETF investment tools and resources that are available to financial advisors.

Table of Contents